

JUN 02 2005

**TRANSMITTAL
FORM**

Application Serial Number	10/810,504
Filing Date	March 26, 2004
First Named Inventor	Krupa
Group Art Unit	2875
Examiner Name	Sawhney, Hargobind
Attorney Docket No.	OPT-007
Patent No.	Not applicable
Issue Date	Not applicable

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Check Attached <input checked="" type="checkbox"/> Copy of Fee Transmittal Form	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553) <input type="checkbox"/> Formal Drawing(s) <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> CD(s) for large table or computer program <input type="checkbox"/> Amendment After Allowance <input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Brief (in triplicate) <input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 <input type="checkbox"/> Additional Enclosure(s) (please identify below) <input type="checkbox"/>
<input type="checkbox"/> Amendment/Response <input checked="" type="checkbox"/> Preliminary (6 pgs.) <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets _____]		
<input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copies of IDS Citation Labeled C13		
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above		

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 31st day of May, 2005.

Jamie Crystal-Lowry
Jamie Crystal-Lowry

CORRESPONDENCE ADDRESS

SIGNATURE BLOCK

Direct all correspondence to: Patent Administrator
Proskauer Rose LLP
One International Place
22nd Floor
Boston, MA 02110-2600
Tel. No.: (617) 526-9600
Fax No.: (617) 526-9899

Respectfully submitted,

Date: May 31, 2005
Reg. No.: 55,699
Tel. No.: (617) 526-9836
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Deborah M. Vernon
Deborah M. Vernon
Agent for the Applicant(s)
Proskauer Rose LLP
One International Place
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FEE TRANSMITTAL
FY 2005

JUN 02 2005

PATENT & TRADEMARK OFFICE

Complete if Known	
Application Serial Number	10/810,504
Filing Date	March 26, 2004
First Named Inventor	Krupa
Group Art Unit	2875
Examiner Name	Sawhney, Hargobind
Attorney Docket No.	OPT-007

METHOD OF PAYMENT

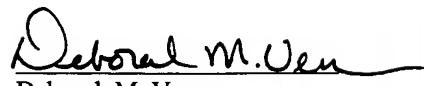
METHOD OF PAYMENT		FEE CALCULATION (continued)		
		4. ADDITIONAL FEES		
		Large Entity	Small Entity	
<input type="checkbox"/>	Payment Enclosed:			
	<input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other			
<input checked="" type="checkbox"/>	The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 50-3081			
	<input checked="" type="checkbox"/> Required Fees (copy of this sheet enclosed).	130	65	Surcharge - late filing fee or oath
	<input checked="" type="checkbox"/> Additional fee required under 37 CFR 1.16 and 1.17.	50	25	Surcharge - late provisional filing fee or cover sheet
	<input checked="" type="checkbox"/> Overpayment Credit.	130	130	Non-English specification
<input checked="" type="checkbox"/>	Applicant claims small entity status.	2,520	2,520	Request for ex parte re-examination

FEES CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES				
Application Type	Filing	Search	Examination	Fee Paid
Utility	300	500	200	
Design	200	100	130	
Plant	200	300	160	
Reissue	300	500	600	
Provisional	200	0	0	
<i>Small Entity Discount</i>				
			1. TOTAL	0
2. EXCESS CLAIM FEES		Fee	Small Entity Fee (\$)	
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent.		50	25	
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent.		200	100	
Total Claims	Extra Claims	Fee Paid (\$)		
31	- 20 or HP (25)= 6	X \$25 = 150		
HP = highest number of total claim paid for, if greater than 20				
Indep. Claims 5	Extra Claims 2	Fee Paid (\$) X \$100 = 200		
HP = highest number of total claim paid for, if greater than 3				
Multiple Dependent Claims	Fee(\$) 360	Small Entity fee (\$) 180	Fee Paid (\$)	
		2. TOTAL:	350	
3. APPLICATION SIZE FEE				
If the specification and drawing exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).				
Total Sheets	Extra Sheets	Additional 50 or fraction thereof	Fee (\$)	Fee Paid
-100 =	/50 =	round up to a whole number	X	=
		3. TOTAL:	0	
CORRESPONDENCE ADDRESS				
Direct all correspondence to:				
Patent Administrator Proskauer Rose LLP One International Place, 22 nd Floor Boston, MA 02110 Tel. No.: (617) 526-9600 Fax No.: (617) 526-9899				
TOTAL AMOUNT SUBMITTED				
(\$)				
350.00				

SIGNATURE BLOCK

Respectfully submitted,


Deborah M. Vernon
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One International Place 22nd Floor
Boston, MA 02110



Jgw

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Krupa et al. CONFIRMATION NO.: 8172
SERIAL NO.: 10/810,504 GROUP NO.: 2875
FILING DATE: March 26, 2004 EXAMINER: Sawhney, Hargobind
TITLE: COMPACT HIGH-EFFICIENCY, HIGH POWER SOLID STATE LIGHT SOURCE USING A SINGLE SOLID STATE LIGHT-EMITTING DEVICE

**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

PRELIMINARY AMENDMENT

Please enter the following Preliminary Amendment before beginning examination of the above-identified patent application. The Commissioner is hereby authorized to charge \$350.00 in additional claims fees to Attorney's Deposit Account No. 50-3081.

Applicants respectfully request entry of this Preliminary Amendment, in which:

- Amendments to the Claims begin on page 2.
- Remarks begin on page 6.
- Conclusion begins on page 6.

06/02/2005 HVUONG1 00000042 503081 10810504

01 FC:2201 200.00 DA
02 FC:2202 150.00 DA